

Beck Capital Management Privacy Policy

Overview

Protecting the privacy and security of your personal information is a top priority for Beck Capital Management, LLC. This Privacy Policy describes the privacy practices of Beck Capital Management, LLC

Highlights

- Your nonpublic personal information is confidential.
- Information security is a priority at Beck Capital Management, LLC.
- We do not sell information to third parties.
- We do not share your nonpublic personal information with outside parties except as necessary to service your account, for 3rd party compliance review purposes and as permitted or required by law.

How We Gather Your Information

In the course of doing business with Beck Capital Management, LLC, you share personal and financial information with us. We treat this information as highly confidential and are committed to protecting the privacy and security of it.

You may provide information when communicating or transacting with us in writing, electronically, or by phone. For instance, information may come from applications, requests for forms or literature, and your transaction and account positions. On occasion, such information may come from consumer reporting agencies and those providing other services to us.

How We Protect and Use Your Information

We maintain safeguards and enforce our code of ethics to insure that your personal information is held in confidence. We do not sell information about current or former customers to anyone. We only share information about our customers within Beck Capital Management, LLC and with certain third party service providers that assist us in servicing and backing up your accounts and with our 3rd party compliance consultant. All third party service providers are required to protect the privacy of your information. We will otherwise only disclose your information as permitted or required by law.

Notice (Required by Regulation)

If you do not want us to share information, other than required by law, with third parties, please clearly indicate your request in writing. If you wish confirmation of receipt of your request, then please indicate that in your letter. If you have questions concerning this or other Beck Capital Management, LLC policies, please call your investment representative.